

Attorney Docket No.: COAD-005/00US

PATENT

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EV316173625US

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

22387 U.S. PTO
10/722836

**UTILITY PATENT APPLICATION TRANSMITTAL**

Transmitted herewith for filing is a U.S. Non-Provisional Utility Patent Application entitled:

APPARATUS AND METHOD FOR CONTROLLING POLARIZATION IN AN OPTICAL COMMUNICATIONS MEDIUM

Naming as inventors: **Jack Robert KELLY**
Xuewu LIU

and including:

- ☒ (22) pages of description (before the claims);
- ☒ (9) pages of claims ((52) total claims; (4) independent claims);
- ☒ One (1) Sheet of Abstract;
- ☒ (16) sheets of drawing(s) including Figures 1 to 14.

1. Also enclosed are:

- ☒ Executed Declaration (2 sets)
- ☒ Power to Prosecute
- ☒ Nonpublication Request and Certification
- ☒ Check in the amount of \$1,472.00 for the total fee as calculated below
- ☒ Assignment (2 sets) and Assignment Recordation Cover Sheet
- ☒ Return receipt postcard
- ☐ Application Data Sheet
- ☐ Assertion of Entitlement to Small Entity Status
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment
- ☐ Other:

2. The filing fee has been calculated as follows:

| | NO. OF CLAIMS | | EXTRA CLAIMS | RATE | FEE |
|---|------------------|--------|-----------------|-----------|-------------------|
| Basic Application Fee | | | | | \$770.00 |
| Total Claims | 52 | - 20 = | 32 | x \$18.00 | \$576.00 |
| Independent Claims | 4 | - 3 = | 2 | x \$86.00 | \$86.00 |
| If multiple dependent claims are presented, add \$290.00 | | | | | |
| Total Application Fee | | | | | \$1,432.00 |
| If an Assertion of Entitlement to Small Entity Status is enclosed, subtract 50% of Total Application Fee. | | | | | |
| Other fees: Recordation of Assignment | | | | | \$40.00 |
| TOTAL FEE DUE | | | | | \$1,472.00 |

[X] The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

3. Please direct all correspondence concerning this application to:

Cooley Godward LLP
 ATTN: Patent Group
 Five Palo Alto Square
 3000 El Camino Real
 Palo Alto, CA 94306-2155
 Tel: (650) 843-5000
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CUSTOMER NUMBER: **23419**

Dated: NOV 26TH 2003

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KRB:dm

Respectfully submitted,
COOLEY GODWARD LLP

By: 

Kenneth R. Backus, Jr.
 Reg. No. 48,861